

APPLICATION FOR CHANGE OF SCHEME

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| CDSL | DP | ID٠ | 2300 | 1 |
|------|----|-----|------|---|

| Application No. | Date | D | D | M | M | Υ | Υ | Υ | Υ |
|-----------------|------|---|---|---|---|---|---|---|---|
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To.

Emkay Global Financial Services Limited

Dear Sir / Madam.

I / We the Sole Holder / Joint Holders / Guardian (In Case of Minor) request you to change scheme of my / our demat account

The details of my / our demat account are given below.

| DP ID | 1 | 2 | 0 | 2 | 3 | 0 | 0 | 0 | Client ID | | | | | Trading a/c no.: |
|-------------------------------|---|---|---|---|---|---|---|---|-----------|--|--|--|--|------------------|
| Name of the First/Sole Holder | | | | | | | | | | | | | | |
| Name of the Second Holder | | | | | | | | | | | | | | |
| Name of the Third Holder | | | | | | | | | | | | | | |

| Sr. No. | Particulars | | | | | | |
|----------|-------------------------------|--|--|---|--|--|--|
| 01. 140. | i articulars | Investors | Traders | BSDA | | | |
| 1 | Account Maintenance Charges | Maintenance Charges Individual Rs. 300/- Individual Rs. 1000/- | | | | | |
| | | Corporate Rs. 800/- | Corporate Rs. 1600/- | N.A. | | | |
| 2 | Demat | Per Certificate Rs. 50./- Plus Postal Charges Rs. 50/- or actuals whichever is higher* | Per Certificate Rs. 50./- Plus Postal Charges Rs. 50/- or actuals whichever is higher* | Per Certificate Rs. 50./- Plus Postal Charges Rs. 50/- or actuals whichever is higher | | | |
| 3 | Remat | Actual CDSL Charges + Postal charges Rs. 60/- Min Rs. 100/- | Actual CDSL Charges + Postal charges Rs. 60/- Min Rs. 100/- | Actual CDSL Charges + Postal charges Rs. 50/- Min Rs. 100/- | | | |
| | Transaction Charges | | | | | | |
| | a) Within Emkay Pay-in | Rs. 15/- | Actual CDSL (Min Rs. 5/-) | Rs. 15/- | | | |
| 4 | b) Within Emkay Bo to Bo | Rs. 20/- | Rs. 15/- | Rs. 20/- | | | |
| 7 | c) Outside Emkay DP | Rs. 25/- | Rs. 25/- | Rs. 25/- | | | |
| | d) Failed Transaction | Rs. 25/- | Rs. 25/- | Rs. 25/- | | | |
| | Pledge/Unpledge/Conf | Rs. 75/- | Rs. 75/- | Rs. 75/- | | | |
| | Margin Pledge/Un-pledge Setup | Rs. 15/- | Rs. 15/- | Rs. 15/- | | | |
| | DIS Issue | Rs. 50/- | Rs. 50/- | | | | |
| 5 | Demat Rejection | Rs. 100/- Plus postal charges Rs. 50/- or actuals whichever is higher. | Rs. 100/- Plus postal charges Rs. 50/- or actuals whichever is higher. | Rs.100/- Plus postal charges Rs. 50/- or actuals whichever is higher. | | | |
| | Extra Statement | Soft copy Rs. 10/- per req Physical Rs. 30/- per req | Soft copy Rs. 10/- per req Physical Rs. 30/- per req | Physical Rs. 25/- per req | | | |
| | Account Modification | Rs. 15/- | Rs. 15/- | Rs. 15/- | | | |
| | Transaction Statement | Rs. 15/- | Rs. 15/- | Physical Rs. 25/- | | | |
| | Miscellaneous charges | Actual Charges (Erroneous transfer, penalties.etc.) | Actual Charges (Erroneous transfer, penalties.etc.) | Actual Charges (Erroneous transfer, penalties.etc.) | | | |

Note:

- GST charges and other statutory levis (if any) would be changed extra wherever applicable as per the prevailing rates 1.
- For availing easiest or any other facility of CDSL, charges levied would be collected from the clients at actual
- CDSL levies rematerialization charges as higher of the following
- I) A fee of ₹ 10/- for every 100/- securities or part thereof; or AMC will be charged for 1st quarter, rest 3 quarter would be free

II) A flat fee of ₹ 10/- per certificate.

- *Actual postal charges for demat is max Rs. 75/-
- My/ Our Consent, do not wish to opt BSDA scheme as per Regulatory and consider as valid electronic confirmation.

I/We hereby agree that I/We shall be abide by rules and regulations and new scheme, authorized to Emkay Global Financial services Ltd, to debit all the Demat Account related charges to my/our Emkay Trading Account.

I hereby confirm & consent that I do not wish to opt for the BSDA (Basic Services Demat Account) scheme for my account, Please consider this as my electronic consent in accordance with regulatory requirements. Should my DPBO account become eligible for the BSDA scheme in the future, I will inform you separately via email at dp@emkayglobal.com or through

| written communication if I decide to opt for the BSDA sch | nem | e | |
|---|-----|---------------|--------------|
| | | | |
| | | | |
| | | | |
| First Holder | | Second Holder | Third Holder |

General Instructions:

- In case of non exercise of option, investor scheme shall be applicable.
- Blank & Signed Delivery Instruction Slips should not be left with your DP/Broker.
- All market instructions for transfer must be received latest by 4.00 p.m. on the previous working day prior to pay in day as per SEBI Guidelines. All off Market Instructions for transfer must be received at least 24 hours before the execution date. Late instructions would be accepted at the account holder's sole risk and responsibility.